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1636

Patent
246/180

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Group Art Unit: 1636

JAN 25 2001

Elisabetta Vegeto et al.

Examiner: W. Sandals

TECH CENTER 1600/2900

Serial No. 09/465,133

Filed: December 16, 1999

For: **MUTATED STEROID HORMONE
RECEPTORS, METHODS FOR THEIR USE
AND MOLECULAR SWITCH FOR GENE
THERAPY**



TRANSMITTAL

BOX SEQUENCE

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit the following documents with this Transmittal Letter.

- (1) Amendment and Response;
- (2) Copy of the Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and Amino Acid Sequence Disclosures;
- (3) Amended Drawing (Fig. 2B) with amendments marked in red ink;
- (4) Nucleotide and Amino Acid sequence listing information (paper format);

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

1/18/01

Name of Person Mailing Paper

LAURA MURPHY


Signature of Person Mailing Paper

Laura Murphy

- (5) Nucleotide and Amino Acid sequence listing information (computer format); and
- (6) Return Postcard.

LYON & LYON LLP

Dated: January 18, 2001

By: 
Michael J. Wise
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

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**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

*Applicant's
HHS*

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 61 FR 1114 OG 29, May 15, 1996 and at 55 FR 18230, May 1, 1990.

☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).

☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."

☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).

☒ 7. *Sequences appear in figure 3 without sequence identifiers.*

Applicant must provide:

☐ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"

☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification

☐ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

For questions regarding compliance with these requirements, please contact:

For Rules Interpretation, call (703) 308-1123
For CRF submission help, call (703) 308-4212
For PatentIn software help, call (703) 557-0400

Please return a copy of this notice with your response.



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